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| Form PTO-1449<br>(Substitute)  | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | Attorney Docket Number<br><b>NRNZ-1006US0</b>           | Serial/Patent Number<br><b>09/786,982</b> |
| <b>Information Disclosure Statement</b><br><b>BY APPLICANT</b><br><i>(Use several sheets if necessary)</i> |  | Applicant/Patent Owner<br><b>Arjan Scheepens et al.</b> |   |
|  |  | Filing/Issue Date<br><b>February 27, 2002</b>           | Group Art Unit<br><b>1647 1649</b>        |

### U.S. PATENTS

| Examiner Initial | Class | Patent Number | Issue Date | First Named Inventor | Class | Subclass | Filing Date |
|------------------|-------|---------------|------------|----------------------|-------|----------|-------------|
| C/D              | A     | 5,468,726     | 11/21/95   | Cacabelas Ramon      |       |          |             |
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### U.S. PATENT PUBLICATIONS

| Examiner Initial | Class | Patent Application Publication Number | Publication Date | Applicant                  |
|------------------|-------|---------------------------------------|------------------|----------------------------|
| C/D              | A     | US 2003/0049838                       | 3/13/03          | Bradley G. Thompson et al. |

### PENDING U.S. PATENT APPLICATIONS

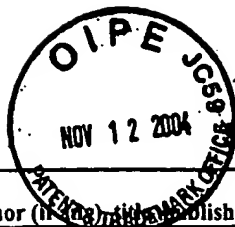
| Examiner Initial | Class | Application Number | Filing Date | First Named Inventor | Petition to Expunge?<br>Yes   No |
|------------------|-------|--------------------|-------------|----------------------|----------------------------------|
|                  |       |                    |             |                      |                                  |

### FOREIGN PATENT DOCUMENTS

| Examiner Initial | Class | Document Number | Publication Date | Country   | Class | Subclass | Translation<br>Yes   No |
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| S/D              | A     | WO 99/33481     | 7/8/99           | PCT       | /     | /        |                         |
| 11               | B     | WO 00/13650     | 3/16/00          | PCT       | /     | /        |                         |
| 11               | C     | WO 03/24471     | 3/27/03          | PCT       | /     | /        |                         |
| 11               | D     | 757907          | 6/26/03          | Australia | /     | /        |                         |
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| Examiner Initial | Class | Document Description   |
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| S/D              | A     | Phelps, C.J. et al., "Stimulatory Effect of Human, But Not Bovine, Growth Hormone Expression on Numbers of Tuberoinfundibular Dopaminergic Neurons in Transgenic Mice," <u>Endocrinology</u> , Baltimore, Maryland, 1977, Vo. 138, No. 7, pp. 2849-2855. |



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| 36   | B               | Chen, L. et al., "Growth Hormone, Insulin-like Growth Factor I, and Motoneuron Size," <u>Journal of Neurobiology</u> , 1997, Vol. 32, No. 2, pp. 202-212   |
| 11   | C               | Scheepens, A., et al., "Alterations in the Neural Growth Hormone Axis Following Hypoxic-Ischemic Brain Injury," <u>Molecular Brain Research</u> , Elsevier Science BV, Amsterdam, Netherlands, 1999, Vol. 68, pp. 88-100.  |
| 11   | D               | Scheepens, A., et al., "Growth Hormone as a Neuronal Rescue Factor During Recovery from CNS Injury," <u>Neuroscience</u> , June 14, 2001, Vol. 104, No. 3, pp. 677-687.  |
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| 11   | F               | Lobie, Peter E.; García-Aragón, Juanita; Lincoln, David T.; Barnard, Ross; Wilcox, Josiah N.; and Waters, Michael J., "Localization and Ontogeny of Growth Hormone Receptor Gene Expression in the Central Nervous System," <u>Developmental Brain Research</u> , Elsevier Science Publishers B.V., 1993, Vol. 74, pp. 225-233.  |
| 11   | G               | Loccick, Sarah A.; Xin-Jun Liu; Lu, Zi-Xian; Liu, Changlu; Behan, Dominic P.; Chalmers, Derek C.; Foster, Alan C.; Vale, Wylie W.; Ling, Nicholas; and De Souza, Errol B., "Displacement of Insulin-like Growth Factors from Their Binding Proteins as a Potential Treatment for Stroke," <u>Pharmacology</u> , The National Academy of Sciences, February 1998, Vol. 95, pp. 1894-1898. |
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| Examiner   | Stephen Guckler |  |
| Date Considered  | 6/26/06         |  |
| *EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |  |
| *1 = Copy not submitted because it was submitted in prior application SN / _____, filed _____, 20____, relied on under 35 USC §120.  |                 |  |
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